

FORM PTO-1390 (REV 10-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <div style="border: 1px solid black; padding: 2px; text-align: center; margin: 5px 0;"> P56559PCT </div> U.S. APPLICATION NO. (if known, see 37CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; margin: 0;"> 09/890154 </div>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371					
INTERNATIONAL APPLICATION NO. PCT/CH99/00510		INTERNATIONAL FILING DATE 30 October 1999		PRIORITY DATE CLAIMED 27 January 1999	
TITLE OF INVENTION <div style="text-align: center; margin-top: 5px;"> CLOSED INJECTION MOULDED CLOSURE </div>					
APPLICANT(S) FOR DO/EO/US <div style="text-align: center; margin-top: 5px;"> Creanova AG / Louis LAGLER, Rudolf RENTSCH </div>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.					
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
3. <input type="checkbox"/> This an express request to promptly begin national examination procedures 935 U.S.C. 371.					
4. <input checked="" type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (PCT Article 31).					
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
<div style="margin-left: 20px;"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </div>					
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
<div style="margin-left: 20px;"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </div>					
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(3)). (NOT executed)					
10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36. (35 U.S.C. 371(c)(5))					
Items 11. to 16. below concern document(s) or information included:					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. <input type="checkbox"/> A FIRST preliminary amendment.					
<div style="margin-left: 20px;"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. </div>					
14. <input type="checkbox"/> A substitute specification.					
15. <input type="checkbox"/> A change of power of attorney and/or address letter.					
16. <input checked="" type="checkbox"/> Other items or information:					
Copies of: - International Preliminary Examination Report (PCT/IPEA/409) - PTO-1449					

U.S. APPLICATION NO. (if known, see 37CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
09/890154		PCT/CH99/00510		P56559PCT	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a) (a)-(5)): Neither international preliminary examination fee (37CFR 1.782) nor international search fee (37CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Articles 33(1)-(4)..... \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	10 -20=		X \$18.00	\$	
Independent claims	1 -3=		X \$80.00	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			X \$270.00	\$	270.00
TOTAL OF ABOVE CALCULATIONS			=	\$	1,270.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL			=	\$	1,270.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))				\$	
TOTAL NATIONAL FEE			=	\$	1,270.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEE ENCLOSED			=	\$	1,270.00
				Amount to be refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,270.00</u> to cover the above fees is enclosed (Check #39992)					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4943 . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Robert E. Bushnell ROBERT E. BUSHNELL & LAW FIRM 1522 K Street, N.W., Suite 300 Washington, D.C. 20005-1202 Tel: (202) 638-5740 Fax: (202) 628-0755					
				SIGNATURE	
				Robert E. Bushnell	
				NAME	
				27,774	
				REGISTRATION NUMBER	

FEE TRANSMITTAL

Patent fees are subject to annual revision.

Complete If Known

Application Number	International application No.: PCT/CH99/00510
Filing Date	international Filing Date: 10/30/99
First Named Inventor	LOUIS LÄGLER et al.
Examiner Name	to be assigned
Group/Art Unit	to be assigned
Attorney Docket No.	P56559PCT

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 02-4943
Deposit Account Number:

☐ Charge Any Additional Fee Required Under 37 C.F.R. §1.16 and 1.17.

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
(CHECK #39992)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	710	201	355	Utility filing fee	\$
		106	320	206	160	Design filing fee	\$
		107	490	207	245	Plant filing fee	\$
		108	710	208	355	Reissue filing fee	\$
		114	150	214	75	Provisional filing fee	\$
SUBTOTAL (1)						(\$)	0.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total claims	-20** =	x	=
Independent Claims	-3** =	x	=
Multiple Dependent			=

** or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	1356t	205	65			Surcharge-late filing fee or oath	\$
127	50	227	25			Surcharge-late provisional filing fee or cover sheet	\$
139	130	139	130			Non-English specification	\$
147	2,520	147	2,520			For filing a request for reexamination	\$
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	\$
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	\$
115	110	215	55			Extension for reply within first month	\$
116	390	216	195			Extension for reply within second month	\$
117	890	217	445			Extension for reply within third month	\$
118	1,390	218	695			Extension for reply within fourth month	\$
128	1,890	228	945			Extension for reply within fifth month	\$
119	310	219	155			Notice of Appeal	\$
120	310	220	155			Filing a brief in support of an appeal	\$
121	270	221	135			Request for oral hearing	\$
138	1,510	138	1,510			Petition to institute a public use proceeding	\$
140	110	240	55			Petition to revive - unavoidable	\$
141	1,240	241	620			Petition to revive - unintentional	\$
142	1,240	242	620			Utility issue fee (or reissue)	\$
143	440	243	220			Design issue fee	\$
144	600	244	300			Plant issue fee	\$
122	130	122	130			Petitions to the Commissioner	\$
123	50	123	50			Petitions related to provisional applications	\$
126	240	126	240			Submission of Information Disclosure Statement	\$
581	40	581	40			Recording each patent assignment per property (Times number of properties)	\$
146	710	246	355			Filing a submission after final rejection (37 C.F.R. §1.129(a))	\$
149	710	249	355			For each additional invention to be examined (37 C.F.R. §1.129(b))	\$
Other Fee (specify) 960 Basic National Stage Fee							\$1,000.00
Other Fee (specify) 968 Multiple dependent claims							\$270.00

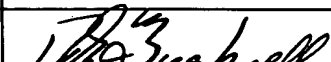
** Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$1,270.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Robert E. Bushnell, Esq.		R g. Number	27,774
Signature		Dat	27 July 2001	Deposit Account User ID

REB/kf

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.